



Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
Application Number		10/776,399	
Filing Date		February 10, 2004	
First Named Inventor		Li, Henry	
Art Unit		Not Yet Assigned	
Examiner Name		Not Yet Assigned	
Attorney Docket Number		016556-003610US	
Sheet	4	of	2

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code* (if known)				
MS	AA	WO	00/05415					<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MS	AB	BRUMMELKAMP, Thijn R. et al.; "Stable suppression of tumorigenicity by virus-mediated RNA interference"; 2002, <u>Cancer Cell</u> , Vol. 2, pp. 243-247.	
	AC	BRUMMELKAMP, Thijn R. et al.; "A System for Stable Expression of Short Interfering RNAs in Mammalian Cells"; 2002, <u>Science</u> , Vol. 296, pp. 550-553.	
	AD	CASTANOTTO, Daniela et al.; "Functional siRNA expression from transfected PCR products"; 2002, <u>RNA</u> , Vol. 8, pp. 1454-1460.	
	AE	DEVROE, Eric et al.; "Retrovirus-delivered siRNA"; 2002, <u>BMC Biotechnology</u> , Vol. 2, pp. 1-5.	
	AF	ELBASHIR, Sayda M. et al.; "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells"; 2001, <u>Nature</u> , Vol. 411, pp. 494-497.	
	AG	HAMMOND, Scott M. et al.; "Post Transcriptional Gene Silencing by Double-Stranded RNA"; 2001, <u>Nature Genetics Reviews</u> , Vol. 2, pp. 110-119.	
	AH	LEE, Nan Sook et al.; "Expression of small interfering RNAs targeted against HIV-1 rev transcripts in human cells"; 2002, <u>Nature Biotechnology</u> , Vol. 19, pp. 500-505.	
	AI	MIYAGISHI, Makoto et al.; "U6 promoter-driven siRNAs with four uridine 3' overhangs efficiently suppress targeted gene expression in mammalian cells"; <u>Nature Biotechnology</u> , Vol. 19, pp. 497-500, (2002).	
	AJ	PADDISON, Patrick J. et al.; "Short hairpin RNAs (shRNAs) induce sequence-specific silencing in mammalian cells"; 2002, <u>Genes & Development</u> , Vol. 16, pp. 948-958.	
	AK	PAUL, Cynthia P. et al.; "Effective expression of small interfering RNA in human cells"; 2002, <u>Nature Biotechnology</u> , Vol. 20, pp. 505-508.	
	AL	SUI, Guangchao et al.; "A DNA vector-based RNAi technology to suppress gene expression in mammalian cells"; 2002, <u>PNAS</u> , Vol. 99, No. 8, pp. 5515-5520.	
✓	AM	XIA, Haibin et al.; "siRNA-mediated gene silencing <i>in vitro</i> and <i>in vivo</i> "; 2002, <u>Nature Biotechnology</u> , Vol. 20, pp. 1006-1010.	

Examiner Signature	/Mark Shibuya/	Date Considered	12/18/2006
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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		Examiner Name	Not Yet Assigned		
Sheet	52	of	2	Attorney Docket Number	016556-003610US

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
MS	AN	YU, Jenn-Yah et al.; "RNA interference by expression of short-interfering RNAs and hairpin RNAs in mammalian cells"; 2002, <u>PNAS</u> , Vol. 99, No. 9, pp. 6047-6052.						
MS	AO	ZAMORE, Phillip et al.; "RNAi: Double-Stranded RNA Directs the ATP-Dependent Cleavage of mRNA at 21 to 23 Nucleotide Intervals"; 2000, <u>Cell</u> , Vol. 101, pp. 25-33.						

Examiner Signature	/Mark Shibuya/	Date Considered	12/18/2006
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Substitute for form 1449A&B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

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Application Number	10/776,399
Filing Date	February 10, 2004
First Named Inventor	Li, Henry
Art Unit	1632
Examiner Name	Not yet assigned
Attorney Docket Number	016556-003610US

U.S. PATENT DOCUMENTS+

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MS	AP	6,803,194 B1	10/12/2004	Keck et al.	
↓	AQ	2003/0144232 A1	07/31/2003	Agami et al.	
	AR	2003/0144239 A1	07/31/2003	Agami et al.	

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MS	AS	TAKAGI, Y. et al.; "Group II Introns and mRNA Splicing: Mechanism of action of hammerhead ribozymes and their applications <i>in vivo</i> : rapid identification of functional genes in the post-genome era by novel hybrid ribozyme libraries"; <u>Biochemical Society Transactions</u> ; 2002; pp. 1145-1149; Vol. 30, No. 6; Biochemical Society	

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Signature

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